AASBO Managing Federal Programs

SONJA PEASPANEN

SEPTEMBER 21, 2015

9/21/2015

Maintenance of Effort

9/21/2015

MOE – Special Education

- Maintenance of Effort Reports
  - IDEA Maintenance of Effort Budget – (2012-2014)
  - IDEA Maintenance of Effort Budget – (2015)
  - IDEA Maintenance of Effort Budget – (Current)
  - IDEA Maintenance of Effort Budget – (through 2011)
  - IDEA Maintenance of Effort Financial – (2011-2014)
  - IDEA Maintenance of Effort Financial – (2015)
  - IDEA Maintenance of Effort Financial – (Current)

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MOE – Special Education

- Why do we have a new report?
  - IDEA Maintenance of Effort Financial – (2011-2014)
  - IDEA Maintenance of Effort Financial – (2015)
  - IDEA Maintenance of Effort Financial – (Current)
- Federal Guidelines have required an adjustment in the calculation of meeting MOE with Local and State/Local funds.
  - 2011-2014 – Comparison was made to prior year
  - 2015 - Comparison will be made to the last time the LEA met MOE with Local funds
  - Current - Comparison will be made to the last time the LEA met MOE with the applicable type of funds
    - Local
    - State/Local

9/21/2015

STATE OF ALABAMA DEPARTMENT OF EDUCATION
LEA BUDGET SYSTEM
INDIVIDUALS WITH DISABILITIES EDUCATION ACT (IDEA) PART B AND PRESCHOOL (AGES 3-21)
COMPUTATIONS TO SUBSTANTIATE BUDGET MAINTENANCE OF EFFORT

[redacted]

Fiscal Year: 2016 Fiscal Period: 00

I. Child Count of Students With Disabilities (Excludes Gifted Students)

Year	Ages 3-21	Child Count Date
FY 13	892	Oct 1, 2012
FY 14	910	Oct 1, 2013
FY 16*	973	Oct 1, 2014

* On the FY 2016 Final MOE, the Oct 1, 2015 child count will be used

II. State or Local Expenditures for Special Education Programs for Students With Disabilities

Fund Sources: 1000-2999, 6000-7499, 4275, and 4285 (excludes Fund Source 6965)
Function: 1000-6999 and 9140 (Program 4712)
1000-6999 (Programs 2200-2499 & 2900-2999)
Object Codes: 001-499, 620-929, and 950-969

	EXPENDITURES FY 2013 LOCAL	FY 2013 STATE/LOCAL	FY 2014 LOCAL	FY 2014 STATE/LOCAL	FY 2016 BUDGET LOCAL	FY 2016 BUDGET STATE/LOCAL
A. Expenditures from State and Local Funds						
Program Code 4712	$419.31	$34,874.18			$3,000.00	$255,031.73
Program Codes 2200-2399	$4,203.47	$2,496,231.93			$1,850.00	$2,007,252.59
Program Codes 2400-2499	$1,633.98	$1,780,315.22			$0.00	$1,422,325.07
Program Codes 2900-2999	$38,747.05	$1,025,769.28			$233,076.90	$1,713,541.46
III. MAINTENANCE OF EFFORT						
A. Total Local or State/Local Expenditures	$45,003.81	$5,337,190.61			$237,926.90	$5,398,150.85
B. Child Count						
C. Per Capita Expenditure (Item III.A/Item III.B)						
MOE Budget Met on Total?					YES	YES
MOE Budget Met on Per Capita?					N/A	N/A

STATE OF ALABAMA DEPARTMENT OF EDUCATION
LEA BUDGET SYSTEM
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COMPUTATIONS TO SUBSTANTIATE BUDGET MAINTENANCE OF EFFORT

[redacted]

Fiscal Year: 2016 Fiscal Period: 00

I. Child Count of Students With Disabilities (Excludes Gifted Students)

Year	Ages 3-21	Child Count Date
FY 13	892	Oct 1, 2012
FY 14	910	Oct 1, 2013
FY 16*	973	Oct 1, 2014

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II. State or Local Expenditures for Special Education Programs for Students With Disabilities

Fund Sources: 1000-2999, 6000-7499, 4275, and 4285 (excludes Fund Source 6965)
Function: 1000-6999 and 9140 (Program 4712)
1000-6999 (Programs 2200-2499 & 2900-2999)
Object Codes: 001-499, 620-929, and 950-969

	EXPENDITURES FY 2013 LOCAL	FY 2013 STATE/LOCAL	FY 2014 LOCAL	FY 2014 STATE/LOCAL	FY 2016 BUDGET LOCAL	FY 2016 BUDGET STATE/LOCAL
A. Expenditures from State and Local Funds						
Program Code 4712	$419.31	$34,874.18			$3,000.00	$255,031.73
Program Codes 2200-2399	$4,203.47	$2,496,231.93			$1,850.00	$2,007,252.59
Program Codes 2400-2499	$1,633.98	$1,780,315.22			$0.00	$1,422,325.07
Program Codes 2900-2999	$38,747.05	$1,025,769.28			$233,076.90	$1,713,541.46
III. MAINTENANCE OF EFFORT						
A. Total Local or State/Local Expenditures	$45,003.81	$5,337,190.61			$237,926.90	$5,398,150.85
B. Child Count	892	910			973	973
C. Per Capita Expenditure (Item III.A/Item III.B)	$ 50.45	$ 5,865.04			$ 244.53	$ 5,547.95
MOE Budget Met on Total?					YES	YES
MOE Budget Met on Per Capita?					YES N/A	NO N/A

[redacted]

Fiscal Year: 2014 Fiscal Period: 09

I. Child Count of Students With Disabilities (Excludes Gifted Students)

Year	Ages 3-21	Child Count Date
FY 12	131	Oct 1, 2011
FY 14*	123	Oct 1, 2013

* On the FY 2014 Final MOE, the Oct 1, 2013 child count will be used

For the Amendment, insert the information from the PY GPFS to compare to the amounts in the Budget Amendment

II. State or Local Expenditures for Special Education Programs for Students With Disabilities

Fund Sources: 1000-2999, 6000-7499, 4275, and 4285 (excludes Fund Source 6965)
Function: 1000-6999 and 9140 (Program 4712)
1000-6999 (Programs 2200-2499 & 2900-2999)
Object Codes: 001-499, 620-929, and 950-969

A. Expenditures from State and Local Funds	FY 2013 EXPENDITURES LOCAL	FY 2013 EXPENDITURES STATE/LOCAL	FY 2014 BUDGET LOCAL	FY 2014 BUDGET STATE/LOCAL
Program Code 4712	$5,874.21	$138,327.63	$6,262.00	$190,444.00
Program Codes 2200-2399	$11,476.75	$252,904.03	$2,261.00	$257,287.00
Program Codes 2400-2499	$13,286.05	$375,370.17	$800.00	$282,318.00
Program Codes 2900-2999	$12,749.20	$81,345.18	$29,500.00	$116,029.00

III. MAINTENANCE OF EFFORT	LOCAL	STATE/LOCAL	LOCAL	STATE/LOCAL
A. Total Local or State/Local Expenditures	$43,386.18	$817,947.00	$38,823.00	$846,078.00
B. Child Count	135		123	
C. Per Capita Expenditure (Item III.A/Item III.B)	$321.38	$ 6,058.87	$315.63	$ 6,878.68
MOE Budget Met on Total?	NO	YES	NO	YES
MOE Budget Met on Per Capita?	NO	N/A	NO	N/A

9/21/2015

Special Education MOE

- MOE must be maintained, unless LEA qualifies for certain exceptions or flexibility, if available
- Supplement not Supplant is considered met when you meet MOE.
- Does not have a comparability component.

9/21/2015

MOE Exceptions

- The Voluntary departure from the school system of special education personnel or related services personnel
  - Retirement
  - Resignation
  - Departure for just cause
- Termination of the obligation of the agency to provide a program of special education to a particular child with a disability that is an exceptionally costly program

9/21/2015

Flexibility

- If your system is eligible to reduce Special Ed maintenance of effort by 50%, you have already been notified
- The reduction is calculated as:
  - 50% x (FY2015 IDEA Part B Allocation – FY2014 IDEA Part B Allocation)

9/21/2015

Flexibility

- The 50% not spent on Special Ed programs, must be spent from state & local funds on ESEA (Elementary & Secondary Education Act) activities and coded with Special Use code 0064 (IDEA Maintenance of Effort Flexibility)
- The reduction is only available in the year the IDEA Allocation is increased
- The reduction must be included in e-GAP and the budget (0064)

9/21/2015

MOE Exceptions

- NO exceptions are granted if the SDE Special Education Department determines the LEA status to be:
  - Needs Assistance
  - Needs Intervention
- Letters regarding an LEAs status are sent to the Superintendent and Special Education Coordinator.

9/21/2015

Special Education MOE Contact Information

- Brady Vaughn
  - 334-242-1803
  - bvaughn@alsde.edu

QUESTIONS

- Sonja Peaspanen
- speaspanen@alsde.edu
- 334-353-9886

- SDE helpline:
- 1-800-831-8823